

# Cross-linguistic variation in the ways of forming alternative questions: Japanese and beyond

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# 0. Introduction

## Alternative questions (AltQs)

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AltQ reading

A': Yes, I drink coffee or tea. /

No, I don't drink coffee or tea.

Polar Question (PolQ) reading

# Compositional semantics of AltQs

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**Additional goal:** To analyze the ambiguity between the AltQ reading and the PolQ reading in (2).



# Three kinds of analysis in the literature


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1. The disjuncts in AltQ are smaller than CPs. No deletion. The AltQ meaning is derived by a (overt or covert) scoping mechanism. (Larson 1985; Beck and Kim 2006; Nicolae 2013)

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                  └──────────────────┘

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(4) [coffee or tea] Q you drink  $t$   


2. The disjuncts in AltQ are CPs, specifically PolQs. There may be a deletion in one of the disjuncts. The AltQ meaning is derived by the disjunction of PolQs. (Pruitt and Roelofsen 2011)

(5) [Do you drink coffee] or [~~do you drink~~ tea]?



# Two dimensions

1. Whether there is a (overt or covert) scope-shifting operation that makes disjunction take wider scope than the question-forming operator.
2. Whether there may be a deletion in one of the disjuncts.

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2. Whether there may be a deletion in one of the disjuncts.

	scoping	potential deletion
i. <b>Scoping</b>	yes	no
ii. <b>Disjoined PolQs</b>	no	yes
iii. <b>Scoping + Deletion</b>	yes	yes

# The claims to be made

## Two ways of forming AltQs

Languages in principle have two ways to form AltQs: (i) by way of scoping and (ii) by way of disjoining two PolQs. Some languages only have the latter option.

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## Two ways of forming AltQs

Languages in principle have two ways to form AltQs: (i) by way of scoping and (ii) by way of disjoining two PolQs. Some languages only have the latter option.

- ▶ Japanese AltQs are underlyingly **disjunctions of two PolQs**. There may be deletion, but no scope-shifting operation.
- ▶ For other languages such as Basque and Finnish, however, evidence suggests that AltQs via scoping is available as well.

1. Review of the three approaches to the compositional semantics of AltQs
  - ▶ Scoping analysis
  - ▶ Deletion without scoping (Disjoined PolQs)
  - ▶ Scoping *and* deletion

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3. Cross-linguistic variation
  - ▶ Languages with multiple disjunction markers
  - ▶ Hybrid picture

# 1. The three analyses

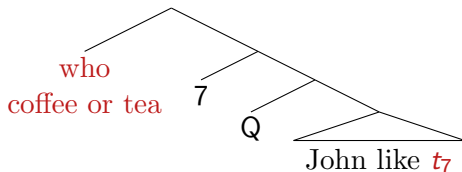
## Implementation along the lines of Karttunen (1977):

- ▶ In Karttunen (1977), wh-phrases have the same denotations as existential quantifiers. Wh-phrases scope above the (proto-)question operator to derive the wh-question interpretation.

# Scoping analysis

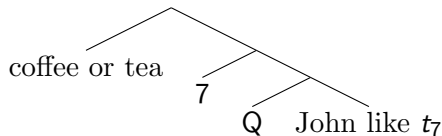
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- ▶ In Karttunen (1977), wh-phrases have the same denotations as existential quantifiers. Wh-phrases scope above the (proto-)question operator to derive the wh-question interpretation.
- ▶ We do the same thing with disjunction.



## Scoping analysis (cont.)

(7)



$$(8) \quad \llbracket Q \rrbracket = \lambda p[\lambda q. p = q]$$

$$(9) \quad \llbracket \text{coffee or tea} \rrbracket = \lambda P_{\langle e, t \rangle}. P(\mathbf{coffee}) \vee P(\mathbf{tea})$$

$$\begin{aligned} (10) \quad & \llbracket (11) \rrbracket \\ &= \lambda p. p = \lambda w. \mathbf{like}(\mathbf{j}, \mathbf{coffee}, w) \vee p = \lambda w. \mathbf{like}(\mathbf{j}, \mathbf{tea}, w) \\ &= \{\text{John likes coffee, John likes tea}\} \end{aligned}$$

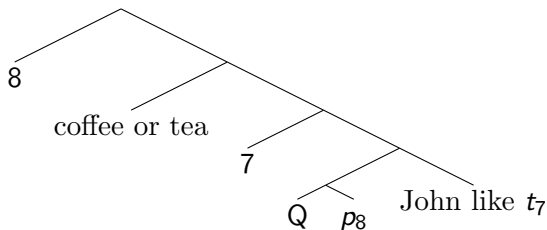
**Wh-Quantification rule** (cf. Karttunen 1977, adapted)

If  $\llbracket \alpha \rrbracket \in D_{\langle et, t \rangle}$  and  $\llbracket \beta \rrbracket \in D_{\langle e, \langle st, t \rangle \rangle}$ ,  
then  $\llbracket \alpha \beta \rrbracket = \lambda p. \llbracket \alpha \rrbracket (\lambda x. \llbracket \beta \rrbracket (x)(p))$



## Scoping analysis (cont.)

(11)



$$(12) \quad \llbracket Q \rrbracket = \lambda p \lambda q. p = q$$

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# Different implementations of scoping

## Overt movement of 'whether' (Larson 1985; Romero & Han 2003)

*whether* overtly moves to Spec CP. *whether* is a 'scope-marker' of the disjunction, which can be semantically analyzed as an existential quantifier over Choice Functions (Reinhart 1992).

**Focus semantics** (Beck and Kim 2006) Disjunction introduces focus alternatives, which are passed up via Point-wise FA until it meets the Q-operator.

**QR** (Nicolae 2013) The Disjunction Phrase itself undergoes QR. Equivalent to the above formulation.

- ▶ These analyses make different predictions about when an AltQ interpretation is blocked (island, intervention etc).

# AltQs as disjunctions of PolQs

## Pruitt & Roelofsen (2011):

- ▶ AltQs are derived by a disjunction of CP polar questions.

(15) [ [CP TP<sub>1</sub> Q] Disj [CP TP<sub>2</sub> Q] ]

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- ▶ What appears to be a coordination of smaller items on the surface involves a deletion in the second CP disjunct.
- ▶ Scoping is unnecessary since *the disjunction already scopes above the Question operator in the underlying structure.*

## There *exist* sentences in which PolQs are coordinated

- (17) [Does John drink coffee] or [does John drink tea]?
- (18) Sue knows [[**whether** John drinks coffee] or [**whether** he drinks tea.]]

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- (17) [Does John drink coffee] or [does John drink tea]?
- (18) Sue knows [[**whether** John drinks coffee] or [**whether** he drinks tea.]]
- ▶ But, this of course does not tell us that *all* AltQs are derived from this structure.

**Han & Romero (2004a,b):** Movement of *whether* + deletion

- ▶ AltQ interpretation is derived by the movement of *whether* (+ the choice function analysis).



# Scoping *and* deletion

**Han & Romero (2004a,b):** Movement of *whether* + deletion

- ▶ AltQ interpretation is derived by the movement of *whether* (+ the choice function analysis).
- ▶ They also assume a deletion in AltQs.





# H&R's reason for assuming a deletion: Cross-linguistic data

- (21) Chandra-ne [coffee yaa chai] pii? [Hindi]  
Chandra-Erg coffee Disj tea drink-Pfv  
'Is it the case that Chandra drank coffee or tea?' (\*AltQ; ✓PolQ)
- (22) [Chandra-ne coffee pii] yaa [~~Chandra-ne~~ chai pii]?  
Chandra-Erg coffee drink.Pfv Disj Chandra-Erg tea drink.Pfv  
'Did Chandra drink coffee or tea?' (✓AltQ; ✓PolQ)

► In order for (21) to lack the AltQ reading, the following deletion has to be blocked:

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- ▶ H&R argue that the deletion in the first disjunct is impossible, *assuming that there is no backward gapping in Hindi*.
- ▶ Thus, the data in (21) is explained if we assume deletion, but the fact is mysterious if an AltQ is derived by just scoping.

## 2. Japanese AltQs

# The basic data

Japanese AltQs are syntactically constrained in the same way as Hindi AltQs: Object DP disjunction does not induce AltQ reading.

- (24) [Taro-ga [koohii ka ocha]-o non-da-ka] (-ga mondai-da)  
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When a disjunct is as big as a VP, the AltQ reading is available:

- (25) [Taro-ga [koohii-o non-da-ka ocha-o non-da-ka]].  
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**Note:** The disjunction marker *ka* is homophonous with the question particle *ka*. Neutral gloss: KA.

## Problem for H&R: Backward gapping

In order to account for the lack of an AltQ reading with an obj disjunction, H&R have to assume that the backward gapping in (26) is impossible.

- (26) [[Taro-ga koohii-~~o~~ ~~non-da~~] ka, [Taro-ga ocha-o  
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However, backward gapping *is* possible, with an AltQ reading.

- (27) [Taro-ga koohii-o ~~non-da-ka~~] (soretomo) [Taro-ga  
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‘Which of these is true: Taro drank coffee or Taro drank tea.’

- ▶ The ellipsis/gapping in (26) is structurally the same as in (27).
- ▶ The contrast between (26) and (27) is mysterious for H&R.

## Problem for scoping: No shared reading of operators

If scoping is possible, it should be possible for some operator to be in a position above the disjunction in an AltQ:

(28) [ [TP disj TP]  $t$  **operator** Q Op ]<sub>CP</sub>



The diagram shows a horizontal line with an upward-pointing arrow at its right end. The line starts under the word 'operator' and extends to the right, ending under the 'Q' in 'Op'. This indicates that the operator is in a position to scope the disjunctive TP.






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Scoping would predict that (29) and (30) have AltQ readings where the politeness/modal operates on both disjuncts, but they don't:

(29) Taro-wa koohii-o non-da ka ~~Taro-wa~~ ocha-o  
Taro-Top coffee-Acc drink-Past KA tea-Acc  
non-da-no-**desu**-ka?  
drink-Past-Nmnl-**Polite**-Q

\**'Did Taro drink coffee or did he drink tea (polite)?'*

✓*'Is it true that Taro drank coffee or Tea (polite)?'*

(30) Taro-ga koohii-o nomu ka ~~Taro-wa~~ ocha-o nomu-**hazu**-ka  
Taro-Nom coffee-Acc drink KA tea-Acc drink-**must**-Q

\**'Which is true: Taro must drink coffee or he must drink tea?'*

✓*'Is it true that Taro must drink coffee or tea?'*

✓*'Which is true: Taro drinks coffee or he must drink tea?'*

## Problem for scoping: No shared reading of operators

In fact, the relevant modal and politeness operators *desu* and *hazu* do operate on both TP *conjunctions*:

- (31) [[Taro-wa koohii-mo non-da] **shi** [~~T.-wa~~ ocha-mo  
Taro-Top coffee-Acc.even drink-Past **Conj** tea-Acc.even  
non-da]]-no-**desu**.  
drink-Past-Nmnl-**Polite**  
'Taro drank coffee and he drank tea (polite)?'
- (32) [[Taro-wa koohii-mo non-da] **shi** [~~T.-wa~~ ocha-mo  
Taro-Nom coffee-Acc.even drink-Past **Conj** tea-Acc.even  
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drink-Past-**must**-Cop  
'It must be the case that Taro drank coffee and he drank tea.'

- ▶ Thus, the AltQ structure with these operators positioned outside the TP disjunction is syntactically possible.

## 2.1 Syntactic Proposal

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b. [  $[_{CP} TP_1$ -ka ] (soretomo) [  $[_{CP} TP_2$ -ka ] ]



## Accounting for the data (i): Backward gapping

- (24) [Taro-ga [koohii ka ocha]-o non-da-ka]  
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**The problem for H&R:** (27) is good while (26) isn't.

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 ‘Which of these is true: Taro drank coffee or Taro drank tea.’
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- ▶ Thus, in (26), something in the non-right-edge of the first disjunct would have to be gapped in an AltQ structure.
- ▶ This is not the case with the gapping in (27).

# Non-right-edge gapping is impossible

We can independently show that gapping in Japanese cannot target a constituent that is not in the right edge of the coordinate.

(35) [Taro-ga doko-e ~~it-ta-ka~~], sosite [Taro-wa dare-to itta-ka]  
where-to go-Past-Q, Conj who-with go-Past-Q

(36) \*[Taro-ga doko-e ~~it-ta-ka~~], sosite [Taro-wa dare-to itta-ka]  
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'Where Taro went and with whom he went'

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- ▶ This restriction can be naturally accounted for in the RNR analysis of Japanese gapping (Saito 1987; Koizumi 2000).

Accounting for the data (ii): No shared reading of operators

- (30) Taro-ga koohii-o nomu ka Taro-wa ocha-o nomu-**hazu**-ka  
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- ▶ In order for the modal or the politeness to be interpreted in both disjuncts, they have to be underlyingly present within each of the disjuncts.
- ▶ But then, for (30) to be derived from such a structure, non-right-edge gapping has to occur.

## 2.2 Semantics

# Compositional semantics

## AltQ structure:

(37) [ [<sub>CP</sub> TP<sub>1</sub> Q] Disj [<sub>CP</sub> TP<sub>2</sub> Q] ]

(38) [[<sub>CP</sub> Taro drank coffee<sub>1</sub>-ka] (soretomo) [<sub>CP</sub> Taro drank tea<sub>2</sub>-ka]]

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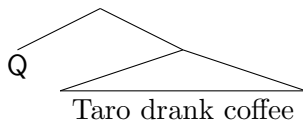
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## Compositional semantics of PolQs:

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(12)  $\llbracket Q \rrbracket := \lambda p[\lambda q.p = q]$

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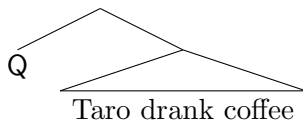
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## soretomo as set union:

(41)  $\llbracket \text{soretomo} \rrbracket = \lambda Q_1 \lambda Q_2.Q_1 \cup Q_2$

(42)  $\llbracket (38) \rrbracket = \{\lambda w.\text{Taro drank coffee in } w, \lambda w.\text{Taro drank tea in } w\}$

# Why singleton for PolQs?: How it works

We assume an operator that operates on the question-denotation and returns a *partition* (cf. George 2011, Egge and Spector, to appear).

$$(43) \quad \llbracket \mathbf{Part} \rrbracket := \lambda Q_{\langle st, t \rangle} . \{ p \mid p = \lambda w \exists w' [\forall p' \in Q [p'(w) = p'(w')]] \}$$

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**Part** only applies to matrix questions; it doesn't apply to questions that serve as constituents of matrix questions.

$$(45) \quad [\mathbf{Part} [\text{Taro drink coffee Q}]]$$

$$(46) \quad [\mathbf{Part} [[\text{Taro drink coffee Q}] \text{ Disj } [\text{Taro drink tea Q}]]]$$

# Why singleton for PolQs?: Uniqueness Presupposition

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- (48) #Taro-wa koohii-mo ocha-mo non-da, soshite Jiro-wa  
Taro-Top coffee-too tea-too drink-Past and Jiro-Top  
[Taro-ga koohii-o non-da-ka ocha-o non-da-ka] shitteiru.  
coffee-Acc drink-Past-Q tea-Acc drink-Past-Q know  
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'Taro drank both coffee and tea, and Jiro knows whether Taro drank  
coffee or Tea.'
- ▶ UP is captured by Dayal's (1996) presupposition that Q-denotations contain a *most informative true answer*.
  - ▶ We can encode this presupposition to **Part**.

# Why singleton for PolQs?: Why not bipolar denotations?

Suppose the denotation of PolQs are bipolar:

$$(49) \quad \llbracket \text{Taro drank coffee-Q} \rrbracket = \{\text{COF}, \neg\text{COF}\}$$

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$$(50) \quad \llbracket \text{soretomo} \rrbracket = \lambda Q_{\langle st, t \rangle} \lambda Q'_{\langle st, t \rangle} . Q \cup Q'$$

$$(51) \quad \llbracket \text{Taro drank coffee-Q soretomo Taro drank tea-Q} \rrbracket = \{\text{COF}, \neg\text{COF}, \text{TEA}, \neg\text{TEA}\}$$

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- The Dayal presupposition is unsatisfiable wrt (51): there is no proposition that can be true and most informative.

**Upshot:** We want **Part** to be sensitive to the ‘prejacent’ of the polar question for the correct Uniqueness presup to arise. Bipolar denotations for PolQs don’t guarantee this.



### **3. Cross-linguistic variation**

# Languages with multiple disjunction-markers

- ▶ Some languages have multiple disjunctions which disambiguate AltQs and PolQs.

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- ▶ The AltQ disjunction only appears in interrogatives.

## (52) Basque

a. Te-a **ala** kafe-a nahi duzu?

tea-Art or coffee-Art want you.it

'Which is true: you want tea or you want coffee?'

AltQ

b. Te-a **edo** kafe-a nahi duzu?

tea-Art or coffee-Art want you.it

'It is true that you want tea or coffee?'

PolQ

# Languages with multiple disjunction-markers

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## (53) Finnish

- a. Huomasiko Pekka miehen **tai** naisen?  
Noticed-Q Pekka-Nom man-Acc or woman-Acc  
'Did Pekka notice man or woman?' **YNQ or AltQ**
- b. Huomasiko Pekka miehen **vai** naisen?  
Noticed-Q Pekka-Nom man-Acc or woman-Acc  
'Did Pekka notice man or woman?' **AltQ only**

# Prediction of Pruitt & Roelofsen (2011)

If AltQs are universally derived from disjunction of two PolQs, we predict the following (assuming that the choice of disjunction markers is not affected by ellipsis):

**Prediction of Pruitt & Roelofsen (2011)** A disjunction marker  $\alpha$  can be used in an AltQ iff  $\alpha$  can be used to coordinate two PolQs.



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This prediction is *not* borne out:

- (54) Kafe-a nahi duzu, **ala/edo** te-a nahi duzu? [Basque]  
coffee-Abs want Aux.2ps Disj tea-Abs want Aux.2ps
- (55) haluatko kahvia **vai/\*tai** haluatko teetä? [Finnish]  
want-Q coffee Disj want-Q tea

## Prediction of Pruitt & Roelofsen (2011) (cont.)

- ▶ Basque *edo* induces an PolQ reading in a non-CP coordination structure, but can participate in the CP-coordination structure (and licenses an AltQ reading).

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These facts are problematic for a position that universally analyzes AltQs as coordination of two PolQs.

# A Hybrid picture

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  1. Scoping the disjunction above the Q-operator
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- ▶ Some languages like Japanese and Turkish (Gračanin-Yuksek 2014) only have the latter option.

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We distinguish questions and non-questions in their types

- ▶ **Non-questions:** basic types and functional types
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Disj[+Q]	non-questions	out-scopes the Q-operator
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$$(56) \quad \llbracket \text{Disj}[+Q] \rrbracket = \llbracket \text{Disj}[-Q] \rrbracket = \lambda x \lambda y \lambda P. P(x) \vee P(y)$$

- ▶ Cf. *who* vs. *someone* in Karttunen (1977)

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# Lexicalization of disjunctions

Languages can lexicalize different disjunctions from this inventory into one item.

- ▶ English lexicalizes everything with *or*.
- ▶ Japanese lexicalizes Disj[−Q] with *ka*, and SORETOMO with *soretomo*. No Disj[+Q].

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## Basque

- ▶ *ala*: Disj[+Q] + SORETOMO
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## Finnish

- ▶ *tai*: Disj[±Q]
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It is a future task to find out whether there is a non-trivial *universal constraint* on the lexicalization patterns.

# 4. Conclusions

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# Appendix A: Hamblin-semantic implementation

## Types:

- ▶  $e$  and  $t$  are types.
- ▶ If  $\sigma$  and  $\tau$  are types then  $\langle \sigma, \tau \rangle$  is a type.
- ▶ If  $\tau$  is a type, then  $\{\tau\}$  is a type. (Hamblin types)

## Domains:

- ▶  $D_e := D$
- ▶  $D_t := \{0, 1\}$
- ▶  $D_{\langle \sigma, \tau \rangle} := D_\tau^{D_\sigma}$
- ▶  $D_{\{\tau\}} := \text{Pow}(D_\tau)$

# Appendix A: Hamblin-semantic implementation (cont.)

Subscripts *h* and *o* to a variable indicate that its domain is restricted to **Hamblin** and **Ordinary** (i.e., non-Hamblin) types.

## Three disjunctions:

$$(58) \quad \llbracket \text{Disj}[+Q] \rrbracket = \lambda x_o \lambda y_o. \{x, y\}$$

$$(59) \quad \llbracket \text{Disj}[-Q] \rrbracket = \lambda x_o \lambda y_o. x \sqcup y$$

( $\sqcup$  is a generalized disjunction from Partee and Rooth 1982)

$$(60) \quad \llbracket \text{SORETOMO} \rrbracket = \lambda x_h \lambda y_h. x \cup y$$

**Compositional rules:** If  $\llbracket \beta \rrbracket \in \text{dom}(\llbracket \alpha \rrbracket)$ , then  $\llbracket \alpha \beta \rrbracket = \llbracket \alpha \rrbracket(\llbracket \beta \rrbracket)$ . Otherwise, use flexible Point-wise Functional Application if applicable. (cf. Hagstrom 1998, Slade 2012)

## Q-Operator:

$$(61) \quad \llbracket Q \rrbracket = \lambda p_{h.p} \quad \quad \quad (\text{cf. Kratzer \& Shimoyama 2002})$$