Presuppositions are Fast, whether Hard or Soft -Evidence from the Visual World

SALT 24 NYU

May 31st, 2014

Florian Schwarz



Structure of Talk

- General Background
- Presuppositions: Theory & Processing
- Experiments
 - Expt 1: **Again** vs. **Twice**
 - Expt 2: Stop (vs. Don't Stop)
- Discussion
- Outlook



Ingredients of Meaning

- Overall conveyed meaning results from conglomerate of inferences
 - What classes are there?
 - Key properties of inferences in each class?
 - How do they arise?



Classifying Inferences

Extensive theoretical literature,
 with at least some consensus. A toy example:

Some of the students failed the <u>damn</u> exam <u>again</u>.

- The traditional picture:
 - Literal asserted content

[a subset failed]

Conversational Implicatures

[not all]

Presuppositions

[it happened before]

Conventional Implicatures
 [speaker has negative attitude towards exam]



Experimental Perspectives

- Testing and refining classification:
 - Systematic empirical evaluation of properties across expressions and languages
 - Potential re-drawing of boundaries, more fine-grained distinctions

- Extend understanding of actual cognitive processes
 - Time course of access to types of meaning (in particular in relation to one another)
 - Insights into mechanisms giving rise to each type of meaning and combining them



Scalar Implicature Processing

- Some --> Some but not all (based on Quantity Implicature)
- Pragmatic Enrichment: pre-encoded or computed online?
- (One set of) Empirical Results: implicature slower than literal meaning
 - RT's: Bott & Noveck (2004)
 - Visual World: Huang & Snedeker (2009)
- Evidence for online pragmatic reasoning?
- BUT: Recent Visual World evidence for rapid implicature effects

(Grodner et al., Breheny et al, a.o)



Presuppositions -Theoretical Background



Theoretical Tradition: Stalnaker

Properties:

- (Typically) taken for granted
- Presupposition Projection: Presuppositions escape various embedding operators

Analysis:

- Communication as information update
- Common Ground (CG) as set of possible worlds consistent with established propositions in discourse
- Presuppositions are required to be in (local) CG
- Essentially pragmatic, but may be semantically triggered



Dynamic Semantics & DRT

Dynamic Semantics

(Heim 1983)

- Semanticized Stalnaker Picture
- Meanings as context updates
- Presuppositions as update definedness conditions
- Projection built into update procedure for operators

- **DRT** (Kamp 1981, van der Sandt 1990, Geurts 1999)
 - Same dynamic spirit
 - Additional layer of Discourse Representation
 - Presupposition as Anaphora



New Pragmatic Accounts

Simons (2001 and following), Abusch (2002,2010),
 Romoli (2011):

Assimilation to Implicatures (at least for certain cases)

- Schlenker's (2009) Local Contexts:
 - Re-cast of **Heim** (1983) in non-dynamic terms
 - Turns on Local Contexts for presupposition evaluation
 - Incorporates incremental interpretation in a more flexible way [a Processing Preference?]



Differentiating Triggers

- Triggers differ in various ways (projection, accommodation, relation to context)
- Theoretical proposals in the literature:
 - Resolution vs. Lexical triggers (Zeevat 1992)
 - Hard vs. Soft Triggers
 (Abusch 2010, Romoli to appear)
 - (Also see Jayez 2013 & Tiemann 2014)
- Comparison today:again (hard) vs. stop (soft)



Hard vs. Soft Illustration

- I don't know whether John ever played golf.
 # But if he played golf again, ...
 OK But if he stopped playing golf, ...
- Difference in theoretical status:

Hard: Lexically encoded

Soft: Based on reasoning about alternatives

Derived as Implicature

(Abusch 2010) (Romoli 2014)



Experimental Approaches to Presuppositions



Experimental Approaches to Presuppositions

- Questions similar to implicatures:
 - Pragmatic or semantic status?
 - Processing time-course relative to assertion
- Additional complexities:
 - Status of rejection judgments (also: accommodation)
 - Dynamic interaction with linguistic context: projection
 - cognitive status of projection
 - nature of specific projected meanings
 - Differences between triggers

[See Schwarz 2014c for recent developments]



Focus for today

- **Time-course** of Presupposition Processing
- Differences between triggers (or lack thereof)



Previous Work: Reading Studies

- Vary contextual support measure reading times
- Schwarz (2007) on also:
 - a. The congressman/ who wrote to John/...
 - b. The congressman/ who John wrote to/...
 ...had also written to the mayor/...
- Tiemann et al. (2011 and following):
 - additional triggers
 - word by word data
 - vary lack of support vs. inconsistency
- Schwarz & Tiemann (2012): Eyetracking in reading
- General result: infelicity leads to delays as soon as possible (compared to controls!)



Previous Work: Differences between Triggers

- Various behavioral results on types of triggers:
 - Amaral & Cummins (2013, 2014):
 Difference in acceptability of
 'yes, but...' vs. 'no, because...' continuations
 - Jayez et al. (2014):
 too can be accommodated in antecedent of conditional
 - See also Smith and Hall (2011), Velleman et al. (2011), Destruel et al. (2014), among others

Today: online processing of again and stop



Comparing Triggers in Online Processing

- Aim: assess availability of presuppositions of soft. vs. hard triggers via online measures
- Reading time studies generally based on failure / inconsistency with context
- Visual world paradigm: observe unfolding interpretation in felicitous contexts
- Uniform experimental paradigm
 to make results as comparable as possible



Visual World Paradigm

- Timing of fixation(s) (shifts) relative to visual scene during auditory stimulus presentation
- Typical setup:

Set up **time period** where only one piece of information could affect shift in fixations

- Advantages:
 - Very close to real time-course
 - No conscious decisions involved (in initial eye movements)



Expt 1: Again vs. Twice

- Adapt Visual World method as used for implicatures (also see work by Sedivy & colleagues)
- Again & Twice both involve two occurrences of an event
- But first event presupposed for Again
- How does the processing of the inference compare in the two cases?
- General approach: 2x2 Design
 - manipulate whether crucial inference narrows choice to target or not
 - manipulate whether inference is presupposed or asserted

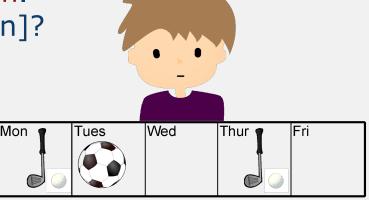


Setup & Instructions

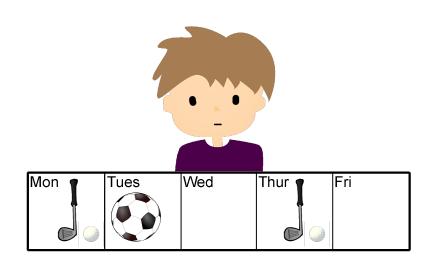
- Calendar strip paradigm
- Iconic representation of events in time (versatile format for various triggers)
- Instructions:

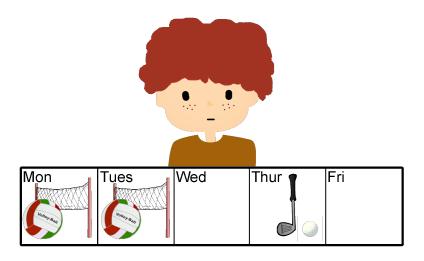
Multiple characters shown. Sentence describes one of them. Which one is subject [e.g., John]?

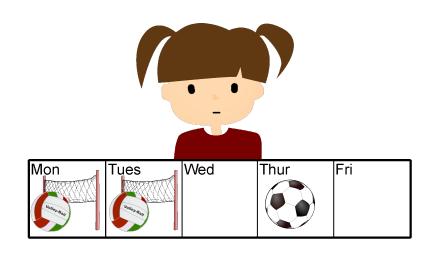
Next: Example Trial

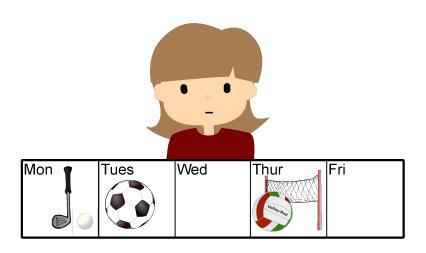












Again vs. Twice Materials

Context:

Some of these children went to play golf on Monday, and some to play volleyball.

Target:

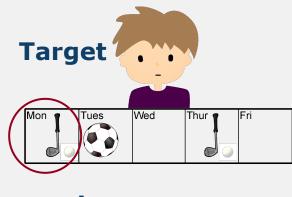
John went to play golf

- a) ... again later on ...
- b) ... twice this week ...

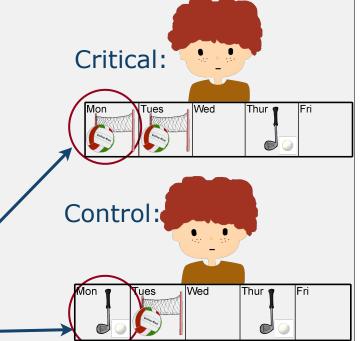
... and also played soccer on Tuesday.

Disambiguation only via inference

Ambiguous during underlined portion









Details

- 3000ms preview to familiarize with picture
- Audio
 - Identical for control / critical
 - 1st sentence identical for both recordings
- 24 items (4 conditions), 27 subjects (+ 24 fillers)
- Presupposition not necessary for disambiguation overall
- Target & Competitor always with `repeat events'



Visual World Analysis

Dependent measures:

- Proportion of looks to target
- Time-linked to onset of critical word
- Target Advantage:
 Looks to target Looks to Competitor

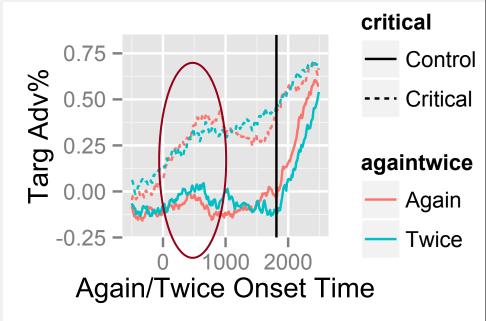
Statistical Analysis:

mixed-effect models using logistic regressions on proportions for time-windows of interest



Again vs. Twice: Results

- Main and Simple effects of Control vs. Critical
- Significant from 200ms after onset of Again/Twice
- No interaction



- Clear evidence for rapid presupposition utilization
- No difference from assertion of same content
- Note: No effect of trial order evidence against practice effects!
- [Parallel results for also vs. only (Schwarz 2014a)]



Expt 2: Stop - a soft trigger

Theoretical Issue:

are some triggers (e.g., 'soft' ones) derived via pragmatic inferencing similar to implicatures?

- If so, this might lead to slower processing (if such inferencing is slow compared to conventional content)
- Calendar-strip paradigm extended to stop



Stop VW Materials

Context:

These children got nice treats for their snacks this week.

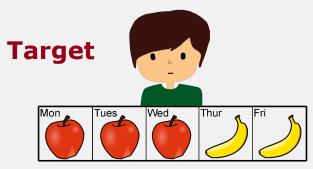
Target:

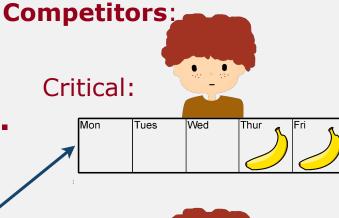
Henry <u>stopped eating</u> <u>the delicious</u> apples on Thursday.

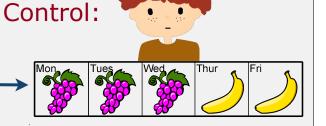
[Ps: ate apples before]

Disambiguated by presupposition

Ambiguous up until apples



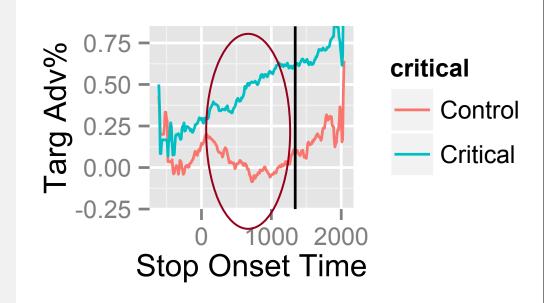






StopVW: Results

- Critical > Control
- Significant from 200ms after onset of stop



Again: evidence for rapid presupposition utilization

 Note: No effect of trial order evidence against practice effects



Entailed Presuppositions?

Possible objection:

(Certain) **presuppositions** (including **stop**'s) are commonly assumed to be entailed as well

- Probably not applicable to again (e.g., Sudo 2013)
- Also doesn't apply under negation

Initial evidence suggests parallels between stop and again under negation as well

• Further potential counter-evidence for stop:

Rejection of presupposed content slower than of asserted content (Schwarz 2014e: Definites)



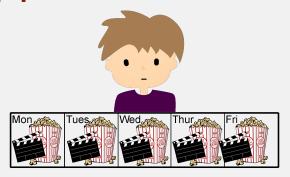
Rejecting Assertions vs. Presuppositions

[Bill, Romoli, Schwarz (in progress)]

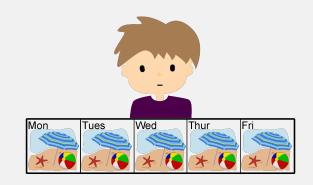
 Covered Box design (select overt picture / covered box)

John stopped going to the movies on Wednesday

- Presupposition: Movies before Wednesday
- Assertion:
 No movies from Wednesday on
 (& possibly:
 Movies before Wednesday)



Ps. TRUE, Ass. FALSE

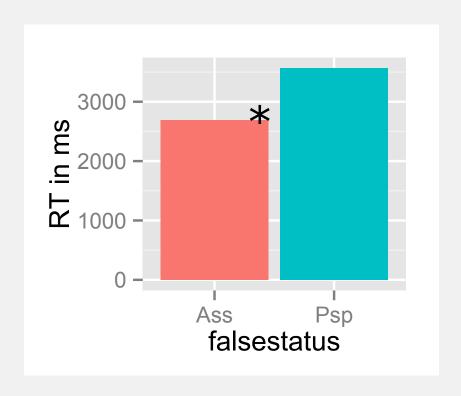


Ps FALSE, Ass. ??



Stop Rejection Results

- Significant increase in `False' RTs based on presuppositionality
- Also holds in negated cases
- Potentially problematic for accounts where the presupposition is also entailed (in affirmative case):



Why **bother with presupposition** if rejection is possible based on assertion alone? (especially if optional pragmatic inference)



Conclusions

- Evidence for rapid availability of presuppositions
- Again vs. Twice: As rapid as asserted content

(see also Also vs. Only, Schwarz 2014a)

- In line with prior reading time evidence, but
 - more detailed, and
 - without infelicity



Conclusions (II)

- No evidence for online processing differences between triggers
- No support for presuppositions as delayed pragmatic inferences
- Consistent with semantic account or rapid pragmatics

• More generally:

Proof of concept - useful tools for investigating more intricate presuppositional phenomena



Further Lines of Investigation



Projection in the Visual World

- Schwarz & Tiemann (2014):
 Presupposition Projection delayed

 (reading, rating, and stops-making sense results)
- Extension within StopVW:

Stop under negation in **Stop VW**



Don't Stop VW Materials

Context:

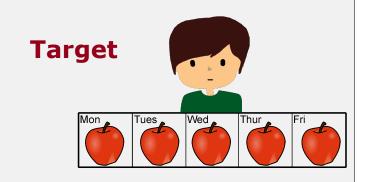
These children got nice treats for their snacks this week.

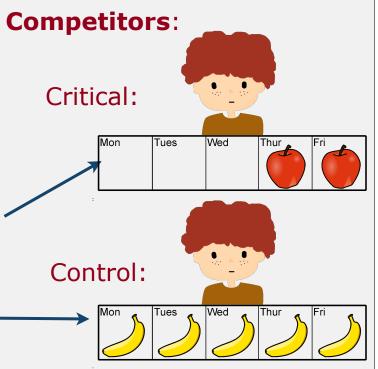
Target:

Henry didn't stop eating the delicious apples all week.

Disambiguated by presupposition

Ambiguous up until apples

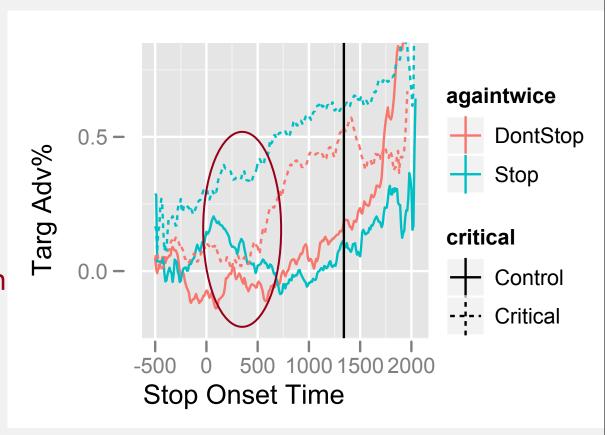






Don't Stop VW - Results

- Not stop delayed relative to affirmative
- Interaction (as early as 400-600ms)
- Parallel projection delay effect to reading study on again (Schwarz & Tiemann 2014)





Other work in progress

Includes:

- Local accommodation under negation and in other embedding environments
- Comparison with implicatures under negation
- Different populations (acquisition, disorders)

(with Cory Bill & Jacopo Romoli)

- Presuppositions under attitude verbs

 (with Yasu Sudo)
- Bulk of the work still ahead, but wide range of tools in place



Thank You!



Acknowledgments

Collaborators:

- Sonja Tiemann
- Jacopo Romoli
- Cory Bill

• RA's:

- Robert Wilder
- Jamie Fisher
- Elizabeth Shay
- Amy Goodwin-Davies
- Dorothy Ahn



Selected References

Abrusan, M. and K. Szendroi. 2013. Experimenting with the king of France: Topics, verifiability, and definite descriptions. *Semantics & Pragmatics* 6(10):1–43.

Bott, L. and I. A Noveck. 2004. Some utterances are underinformative: The onset and time course of scalar inferences. *Journal of memory and language* 51(3):437–457.

Breheny, Richard; Heather J. Ferguson; and Napoleon Katsos. 2013. Investigating the timecourse of accessing conversational implicatures during incremental sentence interpretation. *Language and Cognitive Processes* 28(4):443–467.

Chemla, Emmanuel and Lewis Bott. 2013. Processing presuppositions: Dynamic semantics vs. pragmatic enrichment. *Language and Cognitive Processes* 38(3):241–260.

Grodner, Daniel J.; Natalie M. Klein; Kathleen M. Carbary; and Michael K. Tanenhaus. 2010. "Some," and possibly all, scalar inferences are not delayed: Evidence for immediate pragmatic enrichment. *Cognition* 116(1):42–55.

Huang, Y. T and J. Snedeker. 2009. Online interpretation of scalar quantifiers: Insight into the semantics-pragmatics interface. *Cognitive psychology* 58(3):376–415.

Jayez, Jacques. 2013. Presupposition triggers and orthogonality. Ms. Lyon.

Romoli, Jacopo and Florian Schwarz. 2014. An experimental comparison between presuppositions and indirect scalar implicatures. In Florian Schwarz (2014c).

Schwarz, F. 2014a. Presuppositions vs. asserted content in online processing. In Florian Schwarz (2014c)



Selected References

Schwarz, F. 2014b. Introduction. Aspects of Meaning in Context - Theoretical Issues and Experimental Perspectives. In Florian Schwarz (2014c)

Schwarz, Florian 2014c. *Experimental Perspectives on Presuppositions*, to appear in *Studies in Theoretical Psycholinguistics* Series. Springer.

Schwarz, Florian 2014d. Soft and hard presupposition triggers are fast in online processing. Poster to be presented at CUNY 2014.

Schwarz, Florian. 2014. False but Slow. Evaluating statements with non-referring definites. Ms., UPenn.

Schwarz, Florian and Sonja Tiemann. 2012. Presupposition Processing-The case of german wieder. In M. Aloni; V. Kimmelman; F. Roelofsen; G. Weidman Sassoon; K. Schulz; and M. Westera, eds., Proceedings from the 18th Amsterdam Colloquium, FoLLI. Springer.

Schwarz, Florian and Sonja Tiemann. 2013b. The path of presupposition projection in processing – the case of conditionals. In E. Chemla; V. Homer; and G. Winterstein, eds., *Proceedings of SuB 17*, 509–526.

Sudo, Yasutada. 2013. On the Semantics of Phi Features on Pronouns. PhD thesis. M.I.T.

Tiemann, Sonja; Mareike Schmid; Nadine Bade; Bettina Rolke; Ingo Hertrich; Hermann Ackermann; Julia Knapp; and Sigrid Beck. 2011. Psycholinguistic evi- dence for presuppositions: On-line and off-line data. In I. Reich; E. Horch; and D. Pauly, eds., *Proceedings of Sinn & Bedeutung* 15, 581–597.

Tiemann, Sonja. 2014. The Processing of wieder ('again') and other Presupposition Triggers. PhD Thesis, University of Tuebingen.



Also Materials

Context:

One of the boys is carrying a fork.

Click on the girl who...

Critical Condition: ... ALSO is carrying a fork.

Control Condition:

... is carrying a fork and a spoon.



During **underlined part**, presupposition of **also** is only lead to target



Only Materials

Context:

One of the boys is carrying a fork and a knife.

Click on the girl who...

Critical Condition: ...only is carrying a fork.

Control Condition: ... <u>is carrying a</u> fork.



During underlined part, asserted exclusivity of only is only lead to target



Also vs. Only Results

- Also < Only
- Interaction in 400-600ms time window
- Presupposition before Assertion?
- Caution:

 Further differences

 could be behind difference in effects
- Certain:
 Also presupposition available immediately

